

Nicholas A. Toumpas
Commissioner

Lorraine Bartlett
Director

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES
DIVISION FOR CHILDREN, YOUTH & FAMILIES

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-4451 1-800-852-3345 Ext. 4451
FAX: 603-271-4729 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

August 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
C/o Jeff Pattison
State House
Concord, New Hampshire 03301

In response to the request for additional information for the New Hampshire Adoption, Preparation and Preservation Project (Adoption Trauma Grant) Fiscal Item FIS 15-122, we provide the following.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15, "Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for SFY16?

Yes.

2. If this request is retroactive what is the significance and importance of the action being effective from an earlier date?

This is year three of a six year contract. Dartmouth Trauma Intervention and Research Center (DTIRC) is in the midst of actives which are outlined and required in the implementation plan which is a requirement of the federal grant funding. If DTIRC were to discontinue these activities it would b be a breach of the grant requirements with the Administration for Children, the federal grantor.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

Yes, there were two Fiscal Committee and Governor and Executive Council items for SFY14-15. The original notification of grant approved occurred on October 1, 2013, which was too late to be included in the SFY14-15 budget. Therefore, there was the need to seek Fiscal Committee and Governor and Executive Council approval to accept and expend these funds. The first item was approved by Fiscal Committee on March 21, 2014 (FIS 14-022) and Governor and Executive Council on March 12, 2014 item #20. The second item was approved by Fiscal Committee on

November 10, 2014 (FIS 14-185) and Governor and Executive Council on December 3, 2014 item #17. Copies of both of these documents are attached. The grant award for SFY16 and 17 were included in the SFY16-17 budget that was vetoed. Thus requiring a Fiscal Committee and Governor and Executive Council action to accept and expend funding in SFY16 as part of the Continuing Resolution budget.

4. Was the funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

The original notification of grant approved occurred on October 1, 2013, which was too late to be included in the SFY14-15 budget.

5. Is the program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, this program was included in total in the vetoed FY 2016-2017 Operating Budget proposal currently pending for our department.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

There are no positions or consultants included with this program. There is a contract that has been approved by Governor and Executive Council associated with this program. (March 12, 2014 item #21, attached).

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

Should the Fiscal Committee and Governor and Executive Council determine not to approve this request, it will result in the loss of much needed resources to meet the needs of our pre and post adoptive population. Children who are in need of adoptive placements from our child welfare system have experienced significant trauma, typically complex trauma prior to entering state care. The uncertainties of foster care can exacerbate the trauma children have experienced through abuse and neglect and create poor wellbeing outcomes. Children with abuse and neglect histories need specific evidenced based treatment to overcome their past experiences and adoptive parents need special interventions to address the family systems changes which must be in place in order to successfully parent children with trauma histories. NH currently has more children who are waiting for adoptive placements than we have families who can accommodate these children. Without this request NH will continue to have a lack of pre adoptive families that can meet the needs of the waiting children. NH will continue to have placement disruptions in foster homes and a decline in the well-being outcomes of adopted children. If this request is not approved NH will miss the opportunity to improve the well-being outcomes of children adopted from the child welfare system, increase the number of adoptive

homes in our state, increase the numbers of children adopted from foster care and will lose out on the opportunity to impact the lives of some of the most vulnerable children in our system.

Sincerely,



Lorraine Bartlett,
Director

Approved by:



Nicholas A. Toumpas
Commissioner

20 HST



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES
DIVISION FOR CHILDREN, YOUTH & FAMILIES

Nicholas A. Toumpas
Commissioner

Maggie Bishop
Director

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February 6, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

100% Federal Funds

Pursuant to the provisions of RSA 14:30-a, VI, Acceptance of Non-General Funds, authorize the Department of Health and Human Services, Division of Children, Youth, and Families, to accept federal adoption-related grant funds in the amount of \$422,249 effective retroactive from September 30, 2013 through September 29, 2014. Funding source: 100% Federal Funds. Funds are to be budgeted as follows:

05-95-42-421010-89030000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF, HHS:
CHILDREN AND YOUTH, CHILD PROTECTION, ADOPTION TRAUMA GRANT

Class/Object SFY 2014	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
000-403506	Federal Funds	\$ 0	\$293,629	\$293,629
	General Funds	\$ 0	\$ 0	\$ 0
Total Revenue		\$ 0	\$293,629	\$293,629

Class/Object	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
041-500801	Audit Set Aside	\$ 0	\$ 294	\$ 294
102-500731	Contracts for Program Serv	\$ 0	\$293,335	\$293,335
Total Expense		\$ 0	\$293,629	\$293,629

Class/Object SFY 2015	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
000-403506	Federal Funds	\$ 0	\$128,620	\$128,620
	General Funds	\$ 0	\$ 0	\$ 0
Total Revenue		\$ 0	\$128,620	\$128,620

The Honorable Mary Jane Wallner, Chairman, and
 Her Excellency, Governor Margaret Wood Hassan
 February 6, 2014
 Page 2

Class/Object	Class Title			
041-500801	Audit Set Aside	\$ 0	\$ 129	\$ 129
102-500731	Contracts for Program Serv	\$ 0	<u>\$128,491</u>	<u>\$128,491</u>
Total Expense		\$ 0	<u>\$128,620</u>	<u>\$128,620</u>

EXPLANATION

This request is being submitted retroactively because the Division's grant partner immediately began work on this project upon being notified that the grant was approved by the US Department of Health and Human Services. Upon notification of grant approval on October 1, 2013 the Division began work immediately to put the necessary documentation together to begin the contracting process. The Notice of Award was signed on November 6, 2013 with a grant budget period beginning September 30, 2013 through September 29, 2014.

The Department of Health and Human Services, Division for Children, Youth and Families seeks approval to accept and expend in SFY 2014 and 2015 Adoption Opportunities grant funds in the amount of \$422,249.00 from the federal Administration for Children and Families. This request represents the first year of a multi-year grant award (September 30, 2013 – September 29, 2018). A copy of the grant award is attached.

The federal Administration for Children and Families requires that these funds must be used to improve the social and emotional well-being and restore the developmentally appropriate functioning of targeted children and youth in child welfare systems that have mental and behavioral health needs. In particular, these grants, in the form of cooperative agreements, are designed to:

- Assist public welfare agencies, through interagency collaboration, in improving child well-being, adoption and post-adoption outcomes by creating a flexible service array that provides early access to effective mental and behavioral health services that match the needs of the children, youth and families in the service population;
- Support the implementation of a comprehensive and integrated approach to evidence-based or evidence-informed screening and assessment of mental health and behavioral health needs and the use of functional outcome orientation case planning to ensure those needs are met;
- Support service array reconfiguration approaches at the child and system level that are aligned and responsive to the screening and functional assessment data while simultaneously targeting and de-scaling practices and services that are not effective; and/or do not meet the assessed needs of the target population;
- Identify factors and strategies associated with successful installation, implementation, and sustainability of service system changes; and
- Evaluate the effect of implemented system changes on safety, permanency, well-being, adoption, and cost outcomes for children overall and for particular subgroups.

Through a cooperative agreement New Hampshire will improve the mental and behavioral health needs of children and youth in the child welfare system. This in turn should decrease the amount of time that children and youth spend in the child welfare system, and it should help stabilize adoptions.

Class 041 The funds will be used to pay audit set aside expenses.
 Class 102 The funds will be used to contract with an agency to supply the services listed above.

The Honorable Mary Jane Wallner, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
February 6, 2014
Page 3

Should the Fiscal Committee and Governor and Executive Council determine not to approve this request, it will result in the loss of much needed resources to meet the needs of our pre and post adoptive population. Children who are in need of adoptive placements from our child welfare system have experienced significant trauma, typically complex trauma, prior to entering state care. The uncertainties of foster care can exacerbate the trauma children have experienced through abuse and neglect and create poor wellbeing outcomes. Children with abuse and neglect histories need specific evidenced based treatment to overcome their past experiences and adoptive parents need special interventions to address the family systems changes which must be in place in order to successfully parent children with trauma histories. NH currently has more children who are waiting for adoptive placements than we have families who can accommodate these children. With out this contract NH will continue to have a lack of pre adoptive families that can meet the needs of the waiting children. NH will continue to have placement disruptions in foster homes and a decline in the well being out comes of adopted children. If this request is not approved NH will miss the opportunity to improve the well being out comes of children adopted from the child welfare system, increase the number of adoptive homes in our state, increase the numbers of children adopted from foster care and will loss out on the opportunity to impact the lives of some of the most vulnerable children in our system.

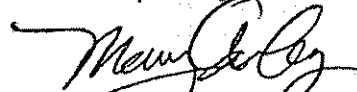
In response to the anticipated two-part question, "Can these funds be used to offset general funds?" and "What is the compelling reason for not offsetting general funds?" DCYF offers the following information: these federal funds cannot be used to offset general funds, as they are restricted to the activities referenced herein. Should this request be, denied, the funds in question must be returned to the federal government.

Geographic are served: Statewide.

Source of Funds: 100% Federal.

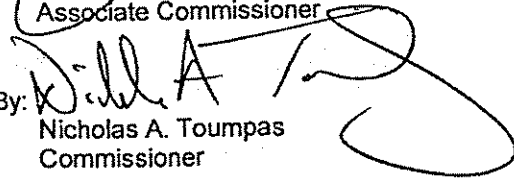
If the federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted:



Mary Ann Cooney
Associate Commissioner

Approved By:



Nicholas A. Toumpas
Commissioner

Enclosures

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

SAI NUMBER:
 PMS DOCUMENT NUMBER:

1. AWARDOFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement		3. AWARD NO.: 90CO1115-01-01		4. AMEND. NO. 1		
5. TYPE OF AWARD: Other			6. TYPE OF ACTION: NGA Revision			7. AWARD AUTHORITY: 42 USC 5101 ET SEQ		
8. BUDGET PERIOD: 09/30/2013 THRU 09/29/2014			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652		
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services 129 Pleasant St Concord, NH 03301-3852 Grantee Authorizing Official: John Harrington						12. PROJECT / PROGRAM TITLE: New Hampshire Adoption, Preparation and Preservation Project		

13. COUNTY: Merrimack		14. CONGR. DIST: 02		15. PRINCIPAL INVESTIGATOR OR PROGRAM DIRECTOR: Eileen Mullen			
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16. APPROVED BUDGET:				17. AWARD COMPUTATION:					
Personnel.....	\$	218,063.00		A. NON-FEDERAL SHARE.....	\$	0.00	0%		
Fringe Benefits.....	\$	0.00		B. FEDERAL SHARE.....	\$	422,249.00	100%		
Travel.....	\$	30,000.00		18. FEDERAL SHARE COMPUTATION:					
Equipment.....	\$	0.00		A. TOTAL FEDERAL SHARE.....	\$	422,249.00			
Supplies.....	\$	3,300.00		B. UNOBLIGATED BALANCE FEDERAL SHARE.....	\$	0.00			
Contractual.....	\$	0.00		C. FED. SHARE AWARDED THIS BUDGET PERIOD...\$		422,249.00			
Facilities/Construction.....	\$	0.00		19. AMOUNT AWARDED THIS ACTION:					
Other.....	\$	170,886.00		\$ 0.00					
Direct Costs.....	\$	422,249.00		20. FEDERAL \$ AWARDED THIS PROJECT PERIOD:					
Indirect Costs.....	\$	0.00		\$ 422,249.00					
At % of \$				21. AUTHORIZED TREATMENT OF PROGRAM INCOME:					
In Kind Contributions.....	\$	0.00		Additional Costs					
Total Approved Budget.....	\$	422,249.00		22. APPLICANT EIN:		23. PAYEE EIN:		24. OBJECT CLASS:	
				026000618		1026000618B3		41.45	

25. FINANCIAL INFORMATION:							DUNS 011040545	
ORGN	DOCUMENT NO.	APPROPRIATION	CAN NO.	NEW AMT.	UNOBLIG.	NONFED %		
	90CO111501	75131536	3-G998016	\$0.00				

26. REMARKS: (Continued on separate sheets)

See next page

27. SIGNATURE - ACF GRANTS OFFICER Robin Bunch		DATE: 11/06/2013	28. SIGNATURE(S) CERTIFYING FUND AVAILABILITY	
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29. SIGNATURE AND TITLE - PROGRAM OFFICIAL(S)		DATE:
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DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

SAI NUMBER:

PMS DOCUMENT NUMBER:

1. AWARDDING OFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement		3. AWARD NO.: 90CO1115-01-01		4. AMEND. NO. 1	
5. TYPE OF AWARD: Other			6. TYPE OF ACTION: NGA Revision			7. AWARD AUTHORITY: 42 USC 5101 ET SEQ	
8. BUDGET PERIOD: 09/30/2013 THRU 09/29/2014			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652	

11. RECIPIENT ORGANIZATION:

New Hampshire Dept of Health & Human Services

26. REMARKS (Continued from previous page)

Local Travel (\$29,130) and Contractual (\$2,650) moved to the Other budget category.

Equipment (\$2,400) moved to the Supplies budget category.

Indirect costs in the amount of \$95,638 have been moved to the Other category pending receipt of an approved Indirect Cost Rate Agreement.

This amendment is issued to remove restriction on draw down of Federal funds. Grantees may draw down funds in accordance with the policy of the Division of Payment Management.

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

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5. TYPE OF AWARD: Other			6. TYPE OF ACTION: NGA Revision			7. AWARD AUTHORITY: 42 USC 5101 ET SEQ		
8. BUDGET PERIOD: 09/30/2013 THRU 09/29/2014			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652		
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services								

STANDARD TERMS

1. 1. Paid by DHHS Payment Management System (PMS). This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award. This includes requirement in Parts I and II of the HHS GPS. Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 74 or 92, directly apply to this award apart from any coverage in the HHS GPS. This award is subject to requirements or limitations in any applicable Appropriations Act. This award is subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to the <http://www.acf.hhs.gov/grants/discretionary-competitive-grants>. This grant is subject to the requirements set forth in 45 CFR Part 87. This award is subject to the Federal Financial Accountability and Transparency Act (FFATA or Transparency) of 2006 subaward and executive compensation reporting requirements. Initial expenditure of funds by the grantee constitutes acceptance of this award.

Award Specifics

1. Important Note: GrantSolutions is an online grants management system that you will be using for all of your grant activities associated with this award. It also provides a venue for Office of Grants Management (OGM) to work with you to manage your award. It is a web-based system, therefore you can access information about your grant anywhere you have internet access. GrantSolutions allows you to access information pertinent to your grant award, as well as submit reports and request all amendments electronically.

User accounts for the Authorizing Official and Principle Investigator/Program Director designated on the original grant application have been activated in GrantSolutions. Each user will receive two auto-generated emails from GrantSolutions; the first email will contain your Username, while the second email will contain your Password. The following is a direct link to the GrantSolutions system: www.grantsolutions.gov.

Federal Contacts - Communications and Reports

You will receive notification of your new Program Specialist in your "Welcome Letter" from your program specialist; questions and concerns regarding all programmatic issues and activities related to your grant should be directed to your designated Program Specialist. For questions and concerns regarding all fiscal and non-programmatic issues and activities (such as requests for drawdown of Federal grant funds; issues with financial matters; and guidance on financial reports), please see the following table to contact the OGM Specialist for your state:

Grants Management Specialist	E-mail Address	State Coverage
Roni Brooks	Roni.brooks@acf.hhs.gov	AZ, CO, DE, IA, LA, NJ, OH,

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

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8. BUDGET PERIOD: 09/30/2013 THRU 09/29/2014			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652	
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services							

		OK, SC, SD, UT
Theresa Hall	Theresa.hall@acf.hhs.gov	AL, AR, IL, IN, MA, MI, MO, NE, PA, VA, VT, WV, WY
Marc Hill	Marc.hill@acf.hhs.gov	CT, GA, HI, KY, NH, NM, NV, NY, PR, RI, VI
David Lee	David.lee@acf.hhs.gov	FL, MN, WA
Bernard Morgan	Bernard.morgan@acf.hhs.gov	AK, DC, KS, MD, MS, MT, NC, OR, TX, WI
Patryce Peden	Patryce.peden@acf.hhs.gov	ID, ME, ND
Anita White	Anita.white@acf.hhs.gov	CA, TN

All correspondence and reports related to your grant should be transmitted to your Grants Management Specialist via GrantSolutions, but may be e-mailed or sent (hardcopy) by mail in cases where internet access is limited.



STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN
SERVICES

FIS 14 185

17

OFFICE OF HUMAN SERVICES

DIVISION FOR CHILDREN, YOUTH & FAMILIES


Nicholas A. Toumpas
Commissioner

Maggie Bishop
Director

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October 1, 2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court, and


Approved by Fiscal Committee 11/19/14 Date

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

REQUESTED ACTION

Pursuant to the provisions of RSA 14:30-a, VI, Acceptance of Non-General Funds, authorize the Department of Health and Human Services, Division for Children, Youth and Families, to accept and expend federal funds in the amount of \$333,801.00 effective upon Fiscal Committee and Governor and Executive Council approval through June 30, 2015, and further authorize the allocation of these funds in the following account(s) for State Fiscal Year (SFY) 2015 upon the availability and continued appropriation of funds, with authority to adjust between fiscal years, if needed and justified:

05-95-42-421010-89030000 HEALTH AND SOCIAL SERVICES, HEALTH AND HUMAN SVCS DEPT OF,
HHS: CHILDREN AND YOUTH, CHILD PROTECTION, ADOPTION TRAUMA GRANT

Class/Object SFY 2015	Class Title	Current Authorized	Increase/(Decrease) Amount	Revised Authorized
000-403506	Federal Funds	\$330,663.70	\$333,801.00	\$664,464.70
	General Funds	\$ 0.00	\$ 0.00	\$ 0.00
Total Revenue		\$330,663.70	\$333,801.00	\$664,464.70

Class/Object	Class Title	Current	Increase/(Decrease)	Revised
041-500801	Audit Set Aside	\$ 129.00	\$ 334.00	\$ 463.00
102-500731	Contracts for Program Serv	\$330,534.70	\$333,467.00	\$664,001.70
Total Expense		\$330,663.70	\$333,801.00	\$664,464.70

EXPLANATION

The Department of Health and Human Services, Division for Children, Youth and Families seeks approval to accept and expend in SFY 2015 Adoption Opportunities grant funds in the amount of \$333,801.00 from the federal Administration for Children and Families. This request represents the second year of a multi-year grant award (September 30, 2013 – September 29, 2018). The remaining funds from this Notice of Award will be budgeted in SFY 2016 during the SFY 2016 - 2017 budget process. A copy of the Notice of Award is attached.

The federal Administration for Children and Families requires that these funds must be used to improve the social and emotional well-being and restore the developmentally appropriate functioning of targeted children and youth in child welfare systems that have mental and behavioral health needs. In particular, these grants, in the form of cooperative agreements, are designed to:

- Assist public welfare agencies, through interagency collaboration, in improving child well-being, adoption and post-adoption outcomes by creating a flexible service array that provides early access to effective mental and behavioral health services that match the needs of the children, youth and families in the service population;
- Support the implementation of a comprehensive and integrated approach to evidence-based or evidence-informed screening and assessment of mental health and behavioral health needs and the use of functional outcome orientation case planning to ensure those needs are met;
- Support service array reconfiguration approaches at the child and system level that are aligned and responsive to the screening and functional assessment data while simultaneously targeting and de-scaling practices and services that are not effective; and/or do not meet the assessed needs of the target population;
- Identify factors and strategies associated with successful installation, implementation, and sustainability of service system changes; and
- Evaluate the effect of implemented system changes on safety, permanency, well-being, adoption, and cost outcomes for children overall and for particular subgroups.

Through a cooperative agreement New Hampshire will improve the mental and behavioral health needs of children and youth in the child welfare system. This in turn should decrease the amount of time that children and youth spend in the child welfare system, and it should help stabilize adoptions.

Class 041 The funds will be used to pay audit set aside expenses.

Class 102 The funds will be used to contract with an agency to supply the services listed above.

Should the Fiscal Committee and Governor and Executive Council determine not to approve this request, it will result in the loss of much needed resources to meet the needs of our pre and post-adoptive population. Children who are in need of adoptive placements from our child welfare system have experienced significant trauma, typically complex trauma, prior to entering state care. The uncertainties of foster care can exacerbate the trauma children have experienced through abuse and neglect and create poor well-being outcomes. Children with abuse and neglect histories need specific evidenced based treatment to overcome their past experiences and adoptive parents need special interventions to address the family systems changes which must be in place in order to successfully parent children with trauma histories.

The Honorable Mary Jane Wallner, Chairman, and
Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

October 1, 2014

Page 3

New Hampshire currently has more children who are waiting for adoptive placements than we have families who can accommodate these children. Without this contract New Hampshire will continue to have a lack of pre-adoptive families that can meet the needs of the waiting children. New Hampshire will continue to have placement disruptions in foster homes and a decline in the well-being outcomes of adopted children. If this request is not approved, New Hampshire will miss the opportunity to improve the well-being outcomes of children adopted from the child welfare system, increase the number of adoptive homes in our state, increase the numbers of children adopted from foster care and will lose out on the opportunity to impact the lives of some of the most vulnerable children in our system.

In response to the anticipated two-part question, "Can these funds be used to offset general funds?" and "What is the compelling reason for not offsetting general funds?" DCYF offers the following information: these federal funds cannot be used to offset general funds, as they are restricted to the activities referenced herein. Should this request be denied, the funds in question must be returned to the federal government.

Area served: Statewide.

Source of Funds: 100% Federal.

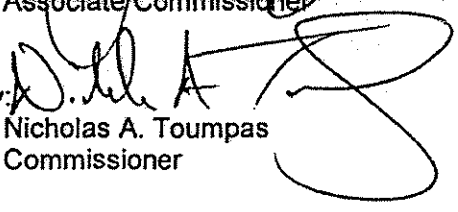
If the federal funds become no longer available, general funds will not be requested to support the program expenditures.

Respectfully submitted:



Mary Ann Cooney
Associate Commissioner

Approved By:



Nicholas A. Toumpas
Commissioner

Enclosure

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

SAI NUMBER:

PMS DOCUMENT NUMBER:

1. AWARDING OFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement		3. AWARD NO.: 90CO1115-02-00		4. AMEND. NO. 0	
5. TYPE OF AWARD: Other			6. TYPE OF ACTION: Non-Competing Continuation			7. AWARD AUTHORITY: 42 USC 5101 ET SEQ	
8. BUDGET PERIOD: 09/30/2014 THRU 09/29/2015			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652	
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services 129 Pleasant St Concord, NH 03301-3852 Grantee Authorizing Official: John Harrington					12. PROJECT / PROGRAM TITLE: New Hampshire Adoption, Preparation and Preservation Project		

13. COUNTY: Merrimack		14. CONGR. DIST.: 02		16. PRINCIPAL INVESTIGATOR OR PROGRAM DIRECTOR: Valerie Brown	
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16. APPROVED BUDGET:		17. AWARD COMPUTATION:			
Personnel.....	\$ 0.00	A. NON-FEDERAL SHARE.....		\$ 0.00	0%
Fringe Benefits.....	\$ 0.00	B. FEDERAL SHARE.....		\$ 445,069.00	100%
Travel.....	\$ 0.00	18. FEDERAL SHARE COMPUTATION:			
Equipment.....	\$ 0.00	A. TOTAL FEDERAL SHARE.....		\$ 445,069.00	
Supplies.....	\$ 0.00	B. UNOBLIGATED BALANCE FEDERAL SHARE.....		\$ 0.00	
Contractual.....	\$ 445,069.00	C. FED. SHARE AWARDED THIS BUDGET PERIOD...		\$ 0.00	
Facilities/Construction.....	\$ 0.00	18. AMOUNT AWARDED THIS ACTION:		\$ 445,069.00	
Other.....	\$ 0.00	20. FEDERAL \$ AWARDED THIS PROJECT PERIOD:		\$ 867,318.00	
Direct Costs.....	\$ 445,069.00	21. AUTHORIZED TREATMENT OF PROGRAM INCOME:			
Indirect Costs.....	\$ 0.00	Additional Costs			
At % of \$		22. APPLICANT EIN:		23. PAYEE EIN:	24. OBJECT CLASS:
In Kind Contributions.....	\$ 0.00	026000618		1026000818B3	41.45
Total Approved Budget.....	\$ 445,069.00				

25. FINANCIAL INFORMATION:						DUNS 011040545
ORGN	DOCUMENT NO.	APPROPRIATION	CAN NO.	NEW AMT.	UNOBLIG.	NONFED %
	90CO111502	75141536	4-G998016	\$445,069.00		

25. REMARKS: (Continued on separate sheets)

Grantee assumes complete responsibility for the administration and accountability for all funds received under this award. Grantee has not listed any Federal funds under personnel line item.

27. SIGNATURE - ACF GRANTS OFFICER		DATE:		28. SIGNATURE(S) CERTIFYING FUND AVAILABILITY	
Daphne Weeden		07/26/2014		Rosa Trent	
29. SIGNATURE AND TITLE - PROGRAM OFFICIAL(S)		DATE:			
Mr. Mark H Greenberg - Acting Commissioner		07/24/2014			

DEPARTMENT OF HEALTH AND HUMAN SERVICES
 ADMINISTRATION FOR CHILDREN AND FAMILIES
 NOTICE OF AWARD

SAI NUMBER:

PMS DOCUMENT NUMBER:

1. AWARDING OFFICE: ACYF - Children's Bureau		2. ASSISTANCE TYPE: Coop Agreement		3. AWARD NO.: 90CO1115-02-00		4. AMEND. NO. 0	
5. TYPE OF AWARD: Other			6. TYPE OF ACTION: Non-Competing Continuation			7. AWARD AUTHORITY: 42 USC 5101 ET SEQ	
8. BUDGET PERIOD: 09/30/2014 THRU 09/29/2015			9. PROJECT PERIOD: 09/30/2013 THRU 09/29/2018			10. CAT NO.: 93.652	
11. RECIPIENT ORGANIZATION: New Hampshire Dept of Health & Human Services							

Reporting Requirements

1. Starting with awards issued in fiscal year 2013, ACF will require use of the SF-428 (Tangible Personal Property Form) and the SF-429 (Real Property Status Report). The reporting frequency will be on an annual basis at the end of each fiscal year. If the report is not applicable, submission is not required. Fillable forms are available at <http://www.acf.hhs.gov/grants/forms>

STANDARD TERMS

1. Paid by DHHS Payment Management System (PMS). This award is subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable to you based on your recipient type and the purpose of this award. This includes requirements in Parts I and II of the HHS GPS. Although consistent with the HHS GPS, any applicable statutory or regulatory requirements, including 45 CFR Part 74 or 92, directly apply to this award apart from any coverage in the HHS GPS. This award is subject to requirements or limitations in any applicable Appropriations Act. This award is subject to the requirements of Section 106 (a) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to the <http://www.acf.hhs.gov/grants/discretionary-competitive-grants>. This grant is subject to the requirements set forth in 45 CFR Part 87. This award is subject to the Federal Financial Accountability and Transparency Act (FFATA or Transparency) of 2006 subaward and executive compensation reporting requirements. Initial expenditure of funds by the grantee constitutes acceptance of this award.



21 MTL

STATE OF NEW HAMPSHIRE
DEPARTMENT OF HEALTH AND HUMAN SERVICES
OFFICE OF HUMAN SERVICES
DIVISION FOR CHILDREN, YOUTH & FAMILIES

Nicholas A. Toumpas
Commissioner

Maggie Bishop
Director

129 PLEASANT STREET, CONCORD, NH 03301-3857
603-271-4451 1-800-852-3345 Ext. 4451
FAX: 603-271-4729 TDD Access: 1-800-735-2964 www.dhhs.nh.gov

January 27, 2014

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, NH 03301

REQUESTED ACTION

100% Federal funds

Authorize the Department of Health and Human Services, Division for Children, Youth and Families to enter into a **retroactive sole source** agreement with the Trustees of Dartmouth College, Dartmouth College, Office of Sponsored Projects, 11 Rope Ferry Road #6210, Hanover, NH 03755-1404 (Vendor Code # 233097) to improve permanency and wellbeing outcomes for children adopted through the Department, in an amount not to exceed \$2,156,447 retroactive to October 1, 2013 effective upon Governor and Executive Council approval, through January 1, 2019.

Funds are available in SFY 2014 and are anticipated to be available in SFYs 2015 through 2019 based upon the available of future federal grant funding and continuing appropriation of funds in future operating budgets with authority to adjust the amounts between State Fiscal Years if needed and justified.

05-95-42-421010-89030000 HEALTH AND HUMAN SERVICES DEPT OF, HHS: HUMAN SERVICES, CHILD PROTECTION, ADOPTION TRAUMA GRANT

State Fiscal Year	Class/Object	Class Title	Activity Number	Budget
2014	102-50731	Contracts for Program Services	42100300	\$293,335
2015	102-50731	Contracts for Program Services	42100300	\$461,958
2016	102-50731	Contracts for Program Services	42100300	\$438,245
2017	102-50731	Contracts for Program Services	42100300	\$424,763
2018	102-50731	Contracts for Program Services	42100300	\$429,920
2019	102-50731	Contracts for Program Services	42100300	\$108,226
Total:				\$2,156,447

EXPLANATION

This request is **sole source** and **retroactive** because prior identification and justification of the vendor as a grant partner was required as part of the Federal grant application process. The grant application

for the Children's Bureau Promoting Well Being and Adoption after Trauma grant required the Department identify and justify any agencies or organizations that would be critical to successfully implementing the Department's proposal to improve permanency and wellbeing outcomes for children adopted through the Department. Further, the Department was required to assert that any partners identified are implementation ready. Prior identification of grant partners is becoming a part of many federal grant application requirements. Due to the partnership and work of the Dartmouth Trauma Intervention and Research Center, the Trustees of Dartmouth College was identified as the Contractor who was implementation ready. Prior identification and justification of partners ensures cost effectiveness for the State and the Federal government.

The Department originally submitted the grant application on August 5, 2013 for review by the United States Department of Health and Human Services Children's Bureau. The Department was notified of grant approval on October 1, 2013 and immediately began work to put the necessary documentation together for the contracting process. The Notice of Award was signed on November 6, 2013 with a grant budget period beginning October 1, 2013 through January 1, 2019. This request is **retroactive** because the Division's grant partner immediately began work on this project upon being notified that the grant was approved by the US Department of Health and Human Services.

Through this contract, the Contractor will replace the current resource family assessment process with an evidence-informed structured decision-making model, which incorporates a matching component such as Structured Analysis Family Evaluation (SAFE) or a similar model of family assessment, which provides a structured decision making model to guide the family assessment process. This structured decision making model is modeled after the type of structured decision-making that is often used in assessing for safety and risk in child protection cases. The new family assessment process will assist the Department with creating better matches between foster families and the children placed with them, which will lead to timely permanency and stable placements. The Contractor will train permanency workers and supervisors in each district office on how to use evidence-based screening and assessment tools which will inform case planning, which usually includes referrals to evidence-based treatments for those children who have experienced significant and complex trauma, resulting from abuse and neglect. These evidence-based treatments assist these children so they can eventually overcome past experiences and learn to function in a family unit.

Using the train-the-trainer model, the Contractor will train mental health providers on family-centered evidence-based treatments that will benefit adoptive parents who need special interventions to address family system changes, which must be in place in order to successfully parent children with trauma issues. The Contractor will ensure there are enough trained mental health providers, statewide, to meet the needs of the State's pre- and post-adoptive families. Lastly, the Contractor will assemble an advisory board that will serve the Contractor by identifying needs of pre- and post-adoptive children and families, as well as training needs in the mental health field. The advisory board will be comprised of key stakeholders, including families and youth.

The Contractor is the only trauma research and intervention center in the State with experience in research and evaluation of evidence-based trauma treatments. Not only does the Contractor have the existing infrastructure that was developed to work in collaboration with the Department that would support this project, but the Contractor has a long term, ongoing relationship with the Department through several other federally funded research initiative projects. These projects have been implemented in partnership with the Department and mental health providers, statewide, and include the previous Bridge Project and the current Partners for Change project.

The Contractor has been instrumental in assisting with the spread of evidence-based treatments for traumatized children in the State through the Bridge Project and the Partners for Change project. These projects initiated trauma screenings for youth and provided training in evidence-based trauma treatments to over 300 mental health providers across the state. Additionally, the projects included the development of a database, which the Department can build upon, that stores and tracks screening outcomes used in case planning.

The grant application required the Department to prove that the partnering agency had the infrastructure to support this initiative, which was accomplished through these aforementioned partnerships. The Contractor has been involved in the State's Systems of Care project and has a good working relationship with the new Care Management Organizations and the staff that are working on the implementation of these new programs. The Contractor has the national leadership, credibility, qualifications, ability and infrastructure to provide the necessary services to assist the Department in implementing this current project that will improve permanency and wellbeing outcomes for adopted youth and is highly respected by our federal partners due to the important work they have been doing in this field.

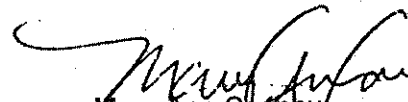
Should the Governor and Executive Council not approve this request; it will result in the loss of much needed resources to meet the needs of our pre- and post-adoptive population. Without this contract New Hampshire will continue to have a lack of pre-adoptive families that can meet the needs of the waiting children. Further, the children in State care will continue to have placement disruptions in foster homes and there will be a decline in the wellbeing outcomes of adopted children. If this request is not approved, New Hampshire will experience: a decrease in the wellbeing outcomes of children adopted from the child welfare system; a decrease in the number of adoptive homes in our state; and a decrease in the number of children adopted from foster care. Without this contract, the State will miss an opportunity to impact the lives of some of the most vulnerable children in the State system.

Area served: Statewide

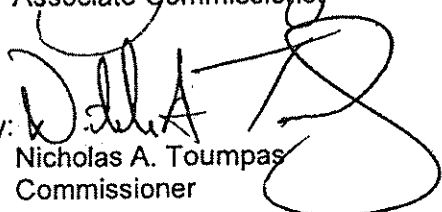
Source of funds: 100% federal funds.

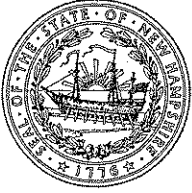
In the event that the federal funds become no longer available, general funds will not be requested to support this program.

Respectfully submitted,


Mary Ann Cooney
Associate Commissioner

Approved by:


Nicholas A. Toumpas
Commissioner



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
603-271-4612 1-800-852-3345 Ext. 4612
Fax: 603-271-4827 TDD Access: 1-800-735-2964

FIS 15-124
Additional Information



August 17, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
C/o Jeff Pattison
State House
Concord, New Hampshire 03301

In response to the request for additional information on the National Violent Death Reporting System, Fiscal Item FIS 15-124, we provide the following.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

Yes, These funds were awarded September 2, 2014 and were not included in the SFY 2015 Budget as of July 1, 2014. However, an Accept and Expend Request to the Fiscal Committee and Governor and Council was approved January 28, 2014, Item #11. Grant funds awarded for periods after SFY 2015, have been requested in the operating budget for SFY 2016-SFY2017.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This request is retroactive to July 1, 2015 in order to: 1) cover the cost of setting up program-related items including the provision of a desk, computer, etc., and 2) to continue the grant funding approved by Fiscal and Governor and Council during SFY 2015.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this is a new federal grant received during SFY 2015

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, this funding was not included in the FY 2014- 2015 Budget.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, the funding is included in the vetoed FY2016-2017 budget request.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

There is one position, a data analyst, funded by this program. Interviews for this position are currently underway with a potential offer pending funding.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

The goal of the National Violent Death Reporting System (NVDRS), supported by funding from the Centers for Disease Control and Prevention (CDC) is to provide states, policy makers and communities with a clearer understanding of violent deaths so that they can be prevented. NVDRS links law enforcement, the medical examiners' office, public health and vital statistics, to name a few, in implementing tailored prevention and intervention. A violent death is one that "results from the intentional use of physical force or power, threatened or actual, against oneself, another person, or a group or community" (CDC, 2015). NVDRS collects information about homicides, suicides, deaths by legal intervention-excluding executions, and deaths of undetermined intent. There are on average, approximately 185 cases in New Hampshire yearly that fit this definition. The information from the NVDRS helps to identify risk and contributory factors to violent deaths and provide preliminary data. This program would not exist as a result of this request being denied.

Sincerely,

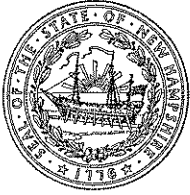


Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
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Fax: 603-271-4827 TDD Access: 1-800-735-2964



FIS 15-125
Additional Information

August 17, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
C/o Jeff Pattison
State House
Concord, New Hampshire 03301

In response to the request for additional information for the Oral Disease Fiscal Item FIS 15-125, we provide the following.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

Yes, These funds were awarded August 14, 2014 and were not included in the SFY 2015 Budget as of July 1, 2014. However, an Accept and Expend Request to the Fiscal Committee and Governor and Council was approved February 14, 2014, Item #34. Grant funds awarded for periods after SFY 2015, have been requested in the operating budget for SFY 2016-SFY2017..

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This request is retroactive to July 1, 2015 in order to cover the salary and benefit cost of the part time employee working under the Oral Disease program.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this is a new federal grant received during SFY 2015

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, this funding was not included in the FY 2014-2015 Budget.

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, the funding is included in the vetoed FY2016-2017 budget request.

6. Do these programs include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

Yes, this program includes three positions, all of which are filled and two consultant contracts which have been awarded.

Positions directly funded with this Fiscal Item.

- 1) Position #16244 Program Planner III - Oral Health Program Coordinator
 - 2) Position #TMPPT4613 Program Planner III - Oral Health Program Evaluator
- One position allocated to the Oral Health program, through Full Time keeping based on actual work performed.

- 3) Position #42942 Program Specialist III - Chronic Disease Communications Specialist

Consultant contracts:

- 4) Contract with University of New England - Oral Health Surveillance
- 5) Contract with Community Health Institute - Oral Health Communications

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

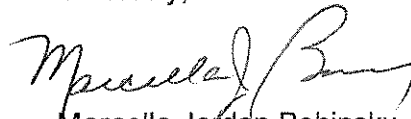
This Federal funding is intended to allow New Hampshire to build infrastructure and capacity for oral health disease prevention. The funds are focused on using a comprehensive team-based approach that will maximize existing partnerships and resources. At present, the team consists of a program manager, coordinator, evaluator, communications specialists and epidemiologist.

If this request is denied, 3 filled positions would lose funding and would result in lay-offs.

These positions and the 2 associated consultant contracts ensure that: New Hampshire:

- increases partnerships both internally and externally to collectively reduce the burden of chronic disease,
- enhances the state's oral health surveillance system to help inform state planning for children and adults,
- improves evaluation capacity to monitor Oral Health programs and activities- to find out what's working and what is not working
- develops and implements a state oral health plan in coordination with state partners,
- promotes oral disease prevention through strategic communications activities

Sincerely,

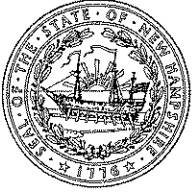


Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



for Nicholas A. Toumpas
Commissioner



Nicholas A. Toumpas
Commissioner

Marcella Jordan Bobinsky
Acting Director

STATE OF NEW HAMPSHIRE

DEPARTMENT OF HEALTH AND HUMAN SERVICES

29 HAZEN DRIVE, CONCORD, NH 03301-6503
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FIS 15-126
Additional Information



August 17, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
C/o Jeff Pattison
State House
Concord, New Hampshire 03301

In response to the request for additional information for the Biomonitoring Fiscal Item FIS 15-126, we provide the following.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA124:15,"Positions Authorized" or both:

1. Is the action required of this request a result of the Continuing Resolution for FY 2016?

Yes, These funds were awarded August 14, 2014 and were not included in the SFY 2015 Budget as of July 1, 2014. However, an Accept and Expend Request to the Fiscal Committee and Governor and Council was approved February 11, 2015, Item #8. All grant funds accept the salary and benefits were requested in the FY 2016-2017 requested budget.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?

This request is retroactive and was previously approved by the fiscal committee on January 23, 2015 agenda item 15 and approved by Governor and Council on February 11, 2015 agenda item 8. Based on that authority, encumbrances were made for equipment and positions were established. Positions are in recruitment and one has been filled, one has been offered, and the other two are in recruitment.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.)

No, this is a new federal grant received during SFY 2015

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No

5. Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

Yes, all grant funding, except the newly created Class 059 and Class 060 are included in the vetoed FY2016-2017 budget request.

6. Does this program include, either positions or consultants, and if so are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.)

The program includes four positions in recruitment and another to be added September, 2015. No consultants contracts are planned. The five positions are as follows:

Position Number	Position	Status
9T2748	Program Specialist IV	Filled, moved back to prev position.
9T2745	Toxicologist IV	Offered and accepted-awaiting authorization for start date
9T2746	Toxicologist II	In recruitment
9T2751	Public Health Program Manager IV	In recruitment
9T2747	Toxicologist II	September 2015- waiting to post

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

If not initiated or denied, the State of New Hampshire will forfeit participation in this important public health program to identify chemical hazards that impact the health of NH residents. The Public Health Laboratories will receive approximately \$815,000.00 per year for five years to build infrastructure, personnel, equipment, technical training, to focus on important environmental health concerns like arsenic contamination of drinking well water which is associated with bladder cancer and other prevalent health conditions in the State. Surveillance biomonitoring will enable the State to identify emerging issues and evaluate the efficacy of public health interventions enabling the State to prioritize limited resources. This program will help develop capability and capacity to respond to potential follow up activities at the Pease Tradeport regarding perfluorochemicals.

Sincerely,



Marcella Jordan Bobinsky, MPH
Acting Director

Approved by:



Nicholas A. Toumpas
Commissioner



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER
33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

August 18, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, NH 03301

Addendum to Tabled Item FIS 15-132

This addendum is for the request to accept and expend State and Local Implementation Grants Program funds in the amount of \$870,841.00 that was tabled on July 29, 2015. It answers the standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000 from any Non State Source", or RSA 124:15, "Positions Authorized" or both.

1. *Is the action required of this request a result of the Continuing Resolution for FY 2016? Yes, this grant was originally accepted by Fiscal on 1/10/15 and Governor and Executive Council on 1/15/15.*
2. *If this request is retroactive what is the significance and importance of the action being effective from an earlier date? This request is not retroactive.*
3. *Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of authorization and end dates for each action as part of your answer to this question.) This grant was originally accepted by Fiscal on 1/10/15 and Governor and Executive Council on 1/15/15. Please see attached as referenced.*
4. *Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied? No, the grant was originally accepted by Fiscal and Governor and Executive Council in January 2015.*
5. *Is this program in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied? This program was included in part in the 2016-2017 budget.*
6. *Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide details for each position and note whether consultant contracts have been awarded.) This item and the original item both have a line for part-time positions and for consultants. The need for this is described in #2 in the Requested Action as well as the Explanation section of both items. These positions have not been filled to date as they are still in the creation phase. Additionally, a current Request for Proposal (RFP) is out which will bring on board consultants as*

needed for this project. After the required Federal Agency "statewide consultation" in June 2015, the grant associated with this item is now in a posture to move ahead with part time position designs and hiring, as well as, with the hiring of a technical consultant.

7. *What would be the effect should this program be discontinued or not initiated as a result of this request being denied?* If this grant program is discontinued, per P.L. 112-96 (Middle Class Tax Relief and Job Creation Act of 2012) and CFDA # 11.549 the State of NH would still be required under Federal Law to "build-out" network connectivity to the national "First Net" first responder specific communications network. The funds in this grant are intended to defray the costs to the State for data gathering, planning, and other technical analysis to most effectively and efficiently integrate the infrastructure, equipment, and other architecture associated with the nationwide public safety broadband network to satisfy the wireless broadband and data service needs of each state and fully integrate each state's network into the national first responder specific network. New Hampshire would incur these costs 100% without any federal grant program funds available to defray the costs of these activities. This grant is \$879,887 in Federal share and \$219,973 in State match.

Respectfully submitted,


John J. Barthelmes
Commissioner



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER

33 HAZEN DR. CONCORD, NH 03305

603/271-2791

Tabled

Fiscal # FIS 15-132

07-29-2015

JOHN J. BARTHELMES
COMMISSIONER

July 8, 2015

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

GC #

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to accept and expend State and Local Implementation Grant Program funds in the amount of \$870,841.00 from the National Telecommunications and Information Administration (NTIA), US Department of Commerce. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding source: 100% Federal Funds.

2. Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to establish class 046, consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA and class 050, part-time personnel to provide administrative support as well as database creation and maintenance as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through December 31, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in the following account:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2016 Authorized	Requested Action	Revised SFY 2016 Authorized
000-403560	Federal Funds	0.00	(870,841.00)	(870,841.00)
018-500106	Overtime	0.00	32,293.00	32,293.00
020-500200	Current Expenses	0.00	7,081.00	7,081.00
021-502668	Food Institutions	0.00	6,459.00	6,459.00
022-500255	Rents-Leases	0.00	6,804.00	6,804.00
038-500177	Software License/Maint. Mainframe/Network	0.00	5,441.00	5,441.00
040-500800	Indirect Costs	0.00	50,598.00	50,598.00
041-500801	Audit Fund Set Aside	0.00	872.00	872.00
046-500464	Consultants	0.00	335,550.00	335,550.00
050-500109	Personal Services - Temp/Appointed	0.00	247,698.00	247,698.00
060-500602	Benefits	0.00	151,479.00	151,479.00
070-500704	In-State Travel Reimbursement	0.00	3,070.00	3,070.00
080-500710	Out-of-State Travel Reimbursement	0.00	23,496.00	23,496.00
	Total Expenses	0.00	870,841.00	870,841.00

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 2 of 3

Explanation

This request to accept \$870,841.00 represents the remaining federal share of the State and Local Implementation Program (SLIGP) funding approved by the Fiscal Committee (FIS 13-280), and Governor and Council (1/15/14, item #53). This federal grant requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers / coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users / secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

- | | |
|----------|---|
| Class 18 | These funds will be used for overtime costs associated with evening meetings, project monitoring, and administration of the SLIGP project. |
| Class 20 | These funds will be used for supplies, outreach and education materials, and operating expenses associated with administering the SLIGP project. |
| Class 21 | These funds will be used to cover the cost of food at outreach and education meetings held throughout the state. |
| Class 22 | These funds will be used to cover the cost of meeting rooms for outreach and education meetings to be held throughout the state. |
| Class 38 | These funds will be used to purchase data collection software. |
| Class 40 | These funds will be used to pay state indirect costs. |
| Class 41 | These funds will be used to pay audit fund set aside expenses. |
| Class 46 | These funds will be used to hire consultants, as necessary, to provide data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA. |
| Class 50 | These funds will be used to pay the cost of part-time personnel working on the SLIGP Grant project requirements. |
| Class 60 | These funds will be used to pay benefits costs associated with overtime and part-time personnel. |
| Class 70 | These funds will be used to pay the cost of traveling in-state to provide SLIGP outreach and education meetings to be held throughout the state. |
| Class 80 | These funds will be used to pay for the cost of traveling out-of-state to national SLIPG conferences. |

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
July 8, 2015
Page 3 of 3

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) *List of personnel involved:* Part-time personnel and temporary consultants.
- 2) *Nature, Need, and Duration:* The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
- 3) *Relationship to existing agency programs:* Expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) *Has a similar program been requested of the legislature and denied?* No.
- 5) *Why wasn't funding included in the agency's budget request?* It was unclear at the time of the SFY 2014-2015 budget that there would be a potential need for part-time personnel and consultants to complete these tasks. However, funding is included in the pending SFY 2016-2017 budget, which will be adjusted to the appropriate grant level when the SFY 2016-2017 budget is adopted.
- 6) *Can portions of the grant funds be utilized?* This request is 100% federally funded.
- 7) *Estimate the funds required to continue this position(s):* The temporary consulting positions are estimated at \$335,550.00.

Highway Funds or General Funds will not be used should federal funds become unavailable.



Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

Department of Safety, Office of the Commissioner
Broadband Grant

Fiscal Situation: Account 02-23-23-231010-88960000

Federal Funds Awarded:	
FFY 2013 Grant 33-10-S13033 (9/1/2015-8/31/15 and Extended to 2/28/2018)	\$879,887.00
Total Grant Funds Awarded	\$879,887.00
Prior Fiscal Year(s) Actual Expenditures:	
Federal Grant spending State Fiscal Year 2014	(\$2,471.17)
Federal Grant spending State Fiscal Year 2015	(\$6,574.50)
Total Prior Fiscal Year Actual Expenditures	(\$9,045.67)
Net Grant Funds Remaining	\$870,841.33
Excess grant funds available to appropriate	\$870,841.33
This Request	\$870,841.33

FORM CD-450 (REV 10/98)		U.S. DEPARTMENT OF COMMERCE		X GRANT COOPERATIVE AGREEMENT	
FINANCIAL ASSISTANCE AWARD				ACCOUNTING CODE	
				** See Attached**	
RECIPIENT NAME New Hampshire Department of Safety			AWARD NUMBER 33-10-S13033		
STREET ADDRESS 33 Hazen Drive			FEDERAL SHARE OF COST \$879,887.00		
CITY, STATE ZIP Concord, NH 03305			RECIPIENT SHARE OF COST \$219,973.00		
AWARD PERIOD 09/01/2013 - 08/31/2016			TOTAL ESTIMATED COST \$1,099,860.00		
AUTHORITY P.L. 112-96					
CFDA NO. AND PROJECT TITLE: 11.549 This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a nationwide broadband network					
This Award approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions checked below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Award.					
<input checked="" type="checkbox"/> Department of Commerce Financial Assistance Standard Terms and Conditions (January 2013) <input checked="" type="checkbox"/> Special Award Conditions <input checked="" type="checkbox"/> Line Item Budget 15 CFR Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit, and Commercial Organizations <input checked="" type="checkbox"/> 15 CFR Part 24, Uniform Administrative Requirements for Grants and Agreements to State and Local Governments OMB Circular A-21, Cost Principles for Educational Institutions <input checked="" type="checkbox"/> OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments OMB Circular A-122, Cost Principles for Nonprofit Organizations 48 CFR Part 31, Contract Cost Principles and Procedures <input checked="" type="checkbox"/> OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations <input checked="" type="checkbox"/> Other(s) \$439,944 of the total Federal share of cost has been reserved for Phase II, see SAC #6.					
SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER			TITLE		DATE
Jannet Cancino 			GRANT OFFICER		9/18/13
TYPED NAME AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL			TITLE		DATE
John J. Barthelmes 			Commissioner		9/25/13

AMENDMENT TO
FINANCIAL ASSISTANCE AWARD

AWARD NUMBER
33-10-S13033

CFDA NO. AND NAME

11.549 - State and Local Implementation Grant Program

PROJECT TITLE

This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a

RECIPIENT NAME

New Hampshire Department of Safety

AMENDMENT NUMBER

2

STREET ADDRESS

33 Hazen Drive

EFFECTIVE DATE

MAR 25 2015

CITY, STATE ZIP

Concord, NH 03305

EXTEND PERIOD OF PERFORMANCE TO

02/28/2018

COSTS ARE REVISED AS FOLLOWS:	PREVIOUS ESTIMATED COST	ADD	DEDUCT	TOTAL ESTIMATED COST
FEDERAL SHARE OF COST	\$879,887.00	\$0.00	\$0.00	\$879,887.00
RECIPIENT SHARE OF COST	\$219,973.00	\$0.00	\$0.00	\$219,973.00
TOTAL ESTIMATED COST	\$1,099,860.00	\$0.00	\$0.00	\$1,099,860.00

REASON(S) FOR AMENDMENT

This grant is hereby amended to: 1) Incorporate Special Award Conditions (SAC) #21, providing a Programmatic Waiver of Phase I funding limitations on data collection activities and SAC #22, providing a waiver for mandatory Memorandum of Agreement (MOA) templates; 2) Extend the Project End date from 8/31/2016 to 2/28/2018; and 3) Change the Program Officer from Yuki Miyamoto to Carolyn Dunn.

ALL PREVIOUS TERMS AND CONDITIONS REMAIN IN EFFECT.

This Amendment Document (Form CD-451) signed by the Grants Officer constitutes an Amendment of the above-referenced Award, which may include an obligation of Federal funding. By signing this Form CD-451, the Recipient agrees to comply with the Amendment provisions checked below and attached, as well as previous provisions incorporated into the Award. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally withdraw this Amendment offer and de-obligate any associated funds.

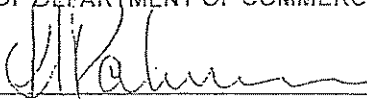
SPECIAL AWARD CONDITIONS

LINE ITEM BUDGET

OTHER(S)

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

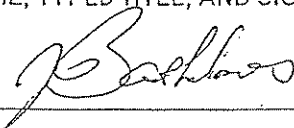
Robin Bunch



DATE

3/18/2015

SIGNED NAME, TYPED TITLE, AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL



John J. Barthelmes, Commissioner

DATE

4/23/15

TITLE I

THE STATE AND ITS GOVERNMENT

CHAPTER 14

LEGISLATIVE OFFICERS AND PROCEEDINGS

Legislative Budget Assistant

Section 14:30-a

14:30-a Fiscal Committee. –

I. There is hereby established a fiscal committee of the general court. Said committee shall consist of 10 members. Five shall be members of the house as follows: the chairperson of the finance committee and 2 other members of the committee, appointed by the chairperson; and 2 other house members appointed by the speaker of the house. Five members shall be members of the senate as follows: the chairperson of the finance committee and 2 other members of that committee, appointed by the chairperson; and 2 other senators appointed by the senate president. The chairperson of the house finance committee shall be the chairperson of the fiscal committee.

II. The committee shall, while the general court is in session and during the interim, consult with, assist, advise, and supervise the work of the legislative budget assistant, and may at its discretion investigate and consider any matter relative to the appropriations, expenditures, finances, revenues or any of the fiscal matters of the state. The members shall be paid the regular legislative mileage during the interim while engaged in their work as members of the committee.

III. The fiscal committee shall consider recommendations proposed to it by the legislative performance audit and oversight committee established under RSA 17-N:1. The fiscal committee shall adopt all recommendations proposed to it as provided in RSA 17-N:1, III by the performance audit and oversight committee unless the fiscal committee refuses by unanimous vote to adopt such recommendations.

IV. [Repealed.]

V. [Repealed.]

VI. Any non-state funds in excess of \$100,000, whether public or private, including refunds of expenditures, federal aid, local funds, gifts, bequests, grants, and funds from any other non-state source, which under state law require the approval of governor and council for acceptance and expenditure, may be accepted and expended by the proper persons or agencies in the state government only with the prior approval of the fiscal committee of the general court.

Source. 1965, 239:19. 1987, 416:7. 1989, 396:13; 408:2. 1991, 346:18, I. 1995, 9:8. 2005, 177:11. 2006, 290:21, eff. June 15, 2006. 2012, 247:10, eff. Aug. 17, 2012.

TITLE IX

ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

124:15 Positions Restricted. —

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



State of New Hampshire

DEPARTMENT OF SAFETY
OFFICE OF THE COMMISSIONER

33 HAZEN DR. CONCORD, NH 03305
603/271-2791

JOHN J. BARTHELMES
COMMISSIONER

December 9, 2013

Fiscal # FIS 13-280
01-10-2014

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

HC #53

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
State House
Concord, New Hampshire 03301

01-15-2014

Requested Action

- Pursuant to RSA 14:30-a, VI, the Department of Safety, Office of the Commissioner, requests authorization to accept and expend State and Local Implementation Grant Program funds in the amount of \$879,887.00 from the National Telecommunications and Information Administration (NTIA), US Department of Commerce. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding source: 100% Federal Funds.
- Pursuant to RSA 124:15, authorize the Department of Safety, Office of the Commissioner, to establish class 046 consultant positions for the purpose of providing program required, communications-related data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA as approved by the SLIGP Grant Program. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. Funding Source: 100% Federal Funds.

Funds are to be budgeted in an account titled **Broadband Grant** as follows:

02-23-23-231010-88960000 Dept. of Safety Office of the Commissioner Broadband Grant

Class	Description	Current SFY 2014 Authorized	Requested Action	Revised SFY 2014 Authorized
000-403560	Federal Funds	0.00	(879,887.00)	(879,887.00)
018-500106	Overtime	0.00	33,000.00	33,000.00
020-500200	Current Expenses	0.00	7,200.00	7,200.00
021-502668	Food Institutions	0.00	10,200.00	10,200.00
022-500255	Rents-Leases	0.00	6,804.00	6,804.00
038-500177	Software License/Maint. Mainframe/Network	0.00	5,441.00	5,441.00
040-500800	Indirect Costs	0.00	51,329.00	51,329.00
041-500801	Audit Fund Set Aside	0.00	880.00	880.00
046-500464	Consultants	0.00	335,550.00	335,550.00
050-500109	Personal Services - Temp/Appointed	0.00	247,698.00	247,698.00
060-500602	Benefits	0.00	151,617.00	151,617.00
070-500704	In-State Travel Reimbursement	0.00	3,168.00	3,168.00
080-500710	Out-of-State Travel Reimbursement	0.00	27,000.00	27,000.00
	Total Expenses	0.00	879,887.00	879,887.00

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

December 9, 2013

Page 2 of 3

Explanation

This request to accept \$879,887.00 represents the federal share of the State and Local Implementation Program (SLIGP) funding. This federal cooperative agreement requires a state match equivalent to 25% of federal funds or 20% of the budget. The match requirement may be met utilizing cash match, in-kind match, or a combination thereof. This requirement will be met using a currently budgeted, non-federal, personnel line. The SLIGP grant is the initial funding mechanism trend to the Nationwide Public Safety Broadband Network Requirements and Administration by First Responder Network Authority Legislation passed by Congress and signed into law February 22, 2012. It establishes the First Responder Network Authority (FirstNet), tasked with the establishment and operation of a single, nationwide Public Safety Broadband Network (PSBN). PSBN operates in the 700 MHz radio spectrum which consists of the existing 700 MHz Public Safety broadband allocation and adds the 700 MHz "D-Block" allocation. This SLIGP grant is phased and phase one is to include this State Grant Program whereas NTIA will release up to \$135 million nationally, by formula, in State grant funds to assist regional, State, tribal, and local governments:

- To plan for a single, nationwide public safety broadband network
- To identify and plan the most effective way to utilize and integrate the infrastructure, equipment, and other architecture associated with network.

Requirements at the State level include the State's consultation with FirstNet. The single officer or governmental body is expected to consult with FirstNet during the "open grant phase", which is the planning and implementation phase of this long-range project on several policies including:

- Construction of a Core and RAN build out
- Placement of towers / coverage
- Adequacy of hardening, security, reliability, and resiliency requirements
- Assignment of priority to local users / secondary
- Training needs of local users.

The SLIGP funds will be used to support planning, consultation, and data collection activities related to the establishment of this nationwide public safety broadband (primary data) network. Activities to be completed include defining coverage needs, user requirements, network hardening, resiliency requirements, and data collection. In order to complete the activities detailed above, the State will attend national meetings and conduct statewide stakeholder meetings to provide education and outreach. Data collection will be accomplished through a combination of State-led collection efforts and utilization of independent consultant(s) as required.

- | | |
|----------|---|
| Class 18 | These funds will be used for overtime costs associated with evening meetings, project monitoring, and administration of the SLIGP project. |
| Class 20 | These funds will be used for supplies, outreach and education materials, and operating expenses associated with administering the SLIGP project. |
| Class 21 | These funds will be used to cover the cost of food at outreach and education meetings held throughout the state. |
| Class 22 | These funds will be used to cover the cost of meeting rooms for outreach and education meetings to be held throughout the state. |
| Class 38 | These funds will be used to purchase data collection software. |
| Class 40 | These funds will be used to pay state indirect costs. |
| Class 41 | These funds will be used to pay audit fund set aside expenses. |
| Class 46 | These funds will be used to hire consultants, as necessary, to provide data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA. |
| Class 50 | These funds will be used to pay the cost of personnel working on the SLIGP Grant project requirements. This funding is not anticipated to require the creation of new positions; at this time, it is needed to pay existing positions within in the Department of Safety to assist in the SLIGP Grant process as approved by the awarding agency. |
| Class 60 | These funds will be used to pay benefits costs associated with overtime and temporary personnel. |
| Class 70 | These funds will be used to pay the cost of traveling in-state to provide outreach and education meetings to be held throughout the state. |
| Class 80 | These funds will be used to pay for the cost of traveling out-of-state to national SLIPG conferences. |

The Honorable Mary Jane Wallner, Chairman
Fiscal Committee of the General Court


Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council
December 9, 2013
Page 3 of 3

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981.

- 1) List of personnel involved: Temporary consultants.
- 2) Nature, Need, and Duration: The temporary consultants will provide for the increased needs for subject matter experts beyond the limited resources of in-house personnel in the areas of communications data collection, surveying, outreach to local communities, documentation, technical guidance, education, assessment and reporting out to NTIA.
- 3) Relationship to existing agency programs: Expands the capacity to achieve missions within the Department of Safety and other projects directly related to communications needs statewide.
- 4) Has a similar program been requested of the legislature and denied? No.
- 5) Why wasn't funding included in the agency's budget request? It was unclear at that time that there would be a potential need for consultants to complete these tasks.
- 6) Can portions of the grant funds be utilized? This request is 100% federally funded.
- 7) Estimate the funds required to continue this position(s): The temporary consulting positions are estimated at \$335,550.00.

Highway Funds or General Funds will not be used should federal funds become unavailable.

Respectfully submitted,


John J. Barthelmes
Commissioner of Safety

FORM CD-450
(REV 10/98)

U.S. DEPARTMENT OF COMMERCE

X GRANT

COOPERATIVE AGREEMENT

FINANCIAL ASSISTANCE AWARD

ACCOUNTING CODE

** See Attached**

RECIPIENT NAME

New Hampshire Department of Safety

AWARD NUMBER

33-10-S13033

STREET ADDRESS

33 Hazen Drive

FEDERAL SHARE OF COST

\$879,887.00

CITY, STATE ZIP

Concord, NH 03305

RECIPIENT SHARE OF COST

\$219,973.00

AWARD PERIOD

09/01/2013 - 08/31/2016

TOTAL ESTIMATED COST

\$1,099,860.00

AUTHORITY

P.L. 112-96

CFDA NO. AND PROJECT TITLE:

11.549 This grant will assist multiple jurisdictions in the State of New Hampshire with the eligible planning activities related to the establishment of a nationwide broadband network

This Award approved by the Grants Officer is issued in triplicate and constitutes an obligation of Federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions checked below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Grants Officer and the third document shall be retained by the Recipient. If not signed and returned without modification by the Recipient within 30 days of receipt, the Grants Officer may unilaterally terminate this Award.

X Department of Commerce Financial Assistance Standard Terms and Conditions (January 2013)

X Special Award Conditions

X Line Item Budget

15 CFR Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Non-Profit, and Commercial Organizations

X 15 CFR Part 24, Uniform Administrative Requirements for Grants and Agreements to State and Local Governments

OMB Circular A-21, Cost Principles for Educational Institutions

X OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments

OMB Circular A-122, Cost Principles for Nonprofit Organizations

48 CFR Part 31, Contract Cost Principles and Procedures

X OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations

X Other(s) \$439,944 of the total Federal share of cost has been reserved for Phase II, see SAC #6.

SIGNATURE OF DEPARTMENT OF COMMERCE GRANTS OFFICER

Jannet Cancino



TITLE

GRANT OFFICER

DATE

9/18/13

TYPED NAME AND SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

John J. Barthelmes



TITLE

Commissioner

DATE

9/25/13

Award Number: 33-10-S13033, Amendment Number 0

Federal Program Officer: Claudia Wayne

Requisition Number: S13033

Employer Identification Number: 02-6000618

Dun and Bradstreet Number: 060340564

Recipient ID:

Requestor ID:

Award ACCS Information

Bureau	FCFY	Project-Task	Organization	Object Class	Obligation Amount
61	2013	8150000-000	11-00-0000-00-00-00-00	41-19-00-00	\$879,887.00

Award Contact Information

Contact Type	Contact Name	Email	Phone
Administrative	Mr. John Barthelmes	John.Barthelmes@DOS.NH.GOV	603-223-3889

NIST Grants Officer:

Jannet Cancino
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-6544

NIST Grants Specialist:

Ebony Simmons
100 Bureau Drive, MS 1650
Gaithersburg, MD 20899-1650
(301) 975-4166

TITLE IX ACQUISITION OF LANDS BY UNITED STATES; FEDERAL AID

CHAPTER 124 FEDERAL AID

Miscellaneous

Section 124:15

124:15 Positions Restricted. –

I. In addition to the positions authorized by law, no new personnel positions, or consultants, or both may be created by the acceptance of federal moneys or moneys from any other source unless such positions, or consultants, or both are approved by the fiscal committee of the general court; provided, however, that the governor and council may accept all moneys available for any emergency or disaster as defined by the authority awarding such moneys; and provided further that all such moneys available to the general court or to either of its houses may be accepted by the respective presiding officers with the prior approval of the fiscal committee. Nothing herein shall be construed to affect the provisions of RSA 98:17-a.

II. Every board, agency, department or commission receiving such federal or other moneys shall attempt to apply them in whole or in part to the cost of personnel positions authorized by law so as to reduce the obligation of general funds, but if the salaries of such personnel positions cannot be paid out of such moneys then such positions shall be considered as specified in paragraph I.

III. All such moneys which fund personnel positions subject to the restrictions of this section shall be used only for the purposes or programs specified in the application for approval of the positions or as otherwise authorized by law, and all such moneys which are accepted in accordance with law are hereby appropriated.

Source. 1983, 469:84, eff. July 1, 1983.



Virginia M. Barry, Ph.D.
Commissioner of Education
Tel. 603-271-3144

Paul Leather
Deputy Commissioner of Education
Tel. 603-271-3801

STATE OF NEW HAMPSHIRE
DEPARTMENT OF EDUCATION
101 Pleasant Street
Concord, N.H. 03301
FAX 603-271-1953
Citizens Services Line 1-800-339-9900

August 12, 2015

The Honorable Neal M. Kurk, Chairman
c/o Jeff Pattison
Fiscal Committee of the General Court
State House
Concord NH 03301

Informational Item on Tabled Item #15-142 for Reconsideration

As a follow up to the above tabled item to accept and expend CEEDAR funding, please see additional information for reconsideration at 8/26/15 Fiscal Committee meeting.

- CEEDAR Funding:
 - Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR) funds are from the U.S. Department of Education, Office of Special Education Programs through the CEEDAR Center at the University of Florida, Office of Research, Division of Sponsored Programs.
 - Funding Period: 12/1/2014 – 11/30/16
- Purpose:
 - To substantially improve the existing systems of teacher and leader preparation in New Hampshire.
 - The grant requires that funds be awarded to the State to offset the technical support, travel, and professional development to establish partnerships with the State Education Agency (SEA) and Institutions of Higher Education (IHE): University of New Hampshire (UNH), Granite State College, St. Anselm's and Franklin Pierce, to create aligned professional learning systems that provide effective opportunities for teachers to master core and specialized instruction in inclusive settings and for leaders to establish the conditions in schools that sustain high quality instruction to enable students with disabilities to achieve college and career ready standards.

- Progress To Date:
 - State Leadership Collaborative Team has been established and met twice for the development of a comprehensive technical assistance 'blue print' to support reform in the following areas:
 - State Licensure and Certification Standards
 - Teacher and leader preparation
 - Program approval and evaluation

- Funding Need:
 - Funding is needed immediately as we have scheduled a critical meeting on September 28th and 29th to determine and finalize the Professional Development needs for the educator and leader preparation initiatives and needed to pay mileage, overnight stay and to cover expenses for the leadership team coming from all over the state.
 - It is a two day meeting scheduled in September at UNH with the State Leadership Team with various stake holder groups representing from all regions of New Hampshire and leaders from CEEDAR Center are expected to attend to begin that determination.
 - Class 046: At the planning meeting in September, we will begin to determine and develop proposals to recruit and engage professional experts in the field.
 - The grantor (CEEDAR Center) is aware and scheduled to attend the planning meeting and any delay or postponement of this meeting may jeopardize our future funding.

Listed below are answers to standard questions required of all Fiscal Committee item requests, related to RSA 14:30-a, VI "Expenditure of funds over \$100,000.00 from any Non State Source", or RSA 124:15, "Positions Authorized" or both:

1. Is the action of this request a result of the Continuing Resolution for FY 2016?
Yes, this grant was included as part of 2016/17 biennial budget.

2. If this request is **retroactive** what is the significance and importance of the action being effective from an earlier date?
This retroactive request is being sought due to the Continuing Resolution situation of the FY16/17 budget where these funds have been requested to be established.

3. Is this a previously funded and ongoing program established through Fiscal Committee and Governor and Executive Council action? (If so, include as an attachment the original documents as approved and cite the specific dates of

authorization and end dates for each action as part of your answer to this question.)

Yes, a request to accept and expend CEEDAR funding was approved by both Fiscal and G&C in April 2015 (Fiscal Item# 15-060 approved on 4/3/2015 and G&C item# 66 approved on 4/22/2015).

4. Was funding for this program included in the FY 2014-2015 enacted Budget or requested and denied?

No, the funding was obtained after the enactment of the 2014-15 Budget.

5. Is this program, in total or in part, included in the vetoed FY 2016-2017 Operating Budget proposal currently pending for your department, or was it requested and denied?

It is included in the vetoed FY 2016-17 Operating Budget.

6. Does this program include either positions or consultants and, if so, are the positions filled, vacant, or have offers pending? (Please provide detail for each position and note whether consultant contracts have been awarded.)

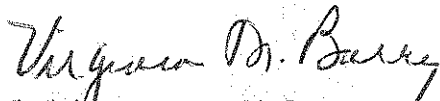
It does include request to establish Consultant's line item. It is anticipated that a decision will be made at the planning meeting in September to begin the development of Request for Proposals to recruit and engage professional experts in the field.

7. What would be the effect should this program be discontinued or not initiated as a result of this request being denied?

Funding is needed immediately as we have scheduled a critical planning meeting on September 28th and 29th to determine and finalize the Professional Development needs for the educator and leader preparation initiatives and needed to pay mileage, overnight stay and to cover expenses for the leadership team coming from all over the state. It is a two day meeting scheduled in September at UNH with the State Leadership Team with various stake holder groups representing from all regions of New Hampshire and leaders from CEEDAR Center are expected to attend to begin that determination. The grantor (CEEDAR Center) is aware and scheduled to attend the planning meeting and any delay or postponement of this meeting may jeopardize our future funding.

Please let me know if you need additional information or if I can be of any further assistance.

Respectfully submitted by



Virginia M. Barry, Ph.D.
Commissioner of Education

Virginia M. Barry, Ph.D.
 Commissioner of Education
 Tel. 603-271-3144

Paul K. Leather
 Deputy Commissioner of Education
 Tel. 603-271-3801



66

STATE OF NEW HAMPSHIRE
 DEPARTMENT OF EDUCATION
 101 Pleasant Street
 Concord, N.H. 03301
 FAX 603-271-1953
 Citizens Services Line 1-800-339-9900

March 2, 2015

The Honorable Neal M. Kurk, Chairman
 Fiscal Committee of the General Court
 State House
 Concord, New Hampshire 03301

[Signature]
 Approved by Fiscal Committee 4/3/15 Date

Her Excellency, Governor Margaret Wood Hassan
 and the Honorable Council
 State House
 Concord, New Hampshire 03301

Requested Action

1. Pursuant to RSA 124:15, authorize the New Hampshire Department of Education to establish class 046 consultant positions for the purpose of providing professional development to members of State Leadership Teams and educators pre-k through higher education, contingent upon Request #2. Effective upon Fiscal Committee and Governor and Council approvals through June 30, 2015. 100% Federal Funds.
2. Authorize the New Hampshire Department of Education to accept and expend Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR) funds in the amount of \$40,000.00 from the U.S. Department of Education, Office of Special Education Programs through the CEEDAR Center at the University of Florida, Office of Research, Division of Sponsored Programs. Effective upon Governor and Council approval through June 30, 2015. The budget for fiscal year 2015 is listed below. The balance of the \$200,000.00 grant which is \$160,000.00 will be incorporated into the next biennium budget. 100% Federal Funds.

Funds are to be budgeted as follows:
 Account: 06-56-56-56101-70190000

Collaboration for Effective Educator Development, Accountability, and Reform (CEEDAR)

<u>Class</u>	<u>Description</u>	<u>Fiscal Year 2015</u>
020	CURRENT EXPENSES	\$5,000.00
041	AUDIT FUND SET ASIDE	\$400.00
046	CONSULTANTS	\$10,000.00
066	EMPLOYEE TRAINING	\$1,000.00
070	TRAVEL IN-STATE	\$3,600.00
072	GRANTS FEDERAL	\$12,000.00
080	TRAVEL OUT-OF-STATE	\$8,000.00
	TOTAL	\$40,000.00
Source of Funds:		
000	FEDERAL	\$40,000.00

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015

Page Two

Explanation

The New Hampshire Department of Education has received a two (2) year CEEDAR grant in the amount of \$200,000.00 to substantially improve the existing systems of teacher and leader preparation in New Hampshire. The grant requires that funds be awarded to the State to offset the technical support, travel, and professional development to establish partnerships with the State Education Agency (SEA) and Institutions of Higher Education (IHE): University of New Hampshire (UNH), Granite State College, St. Anselm College and Franklin Pierce University, to create aligned professional learning systems that provide effective opportunities for teachers to master core and specialized instruction in inclusive settings and for leaders to establish the conditions in schools that sustain high quality instruction to enable students with disabilities to achieve college and career ready standards.

The CEEDAR Center will support and guide the establishment and facilitation of the State leadership team and appropriate work groups to develop and implement the Technical Assistance blueprint to support reform in the following areas: State Licensure and Certification Standards, teacher and leader preparation and program approval and evaluation.

Appropriation Explanation

The following appropriation authorities are being requested:

Class 020	To cover current expense at CEEDAR events.
Class 041	(Audits) – Appropriation for this class is based on 1 percent.
Class 046	(Consultants) – To provide professional development for State Leadership team and educators from pre-k through higher education.
Class 066	(Employee Training) – Appropriation for the professional development requirement of the grant.
Class 070	To cover in-state travel costs.
Class 072	(Grants Federal) – Appropriation to flow through funds to University of New Hampshire (UNH), Granite State College, St. Anselm College and Franklin Pierce University.
Class 080	(Out-of-State Travel) – Appropriation for staff to attend out-of-state meetings and presentations.

The following information is provided in accordance with the Comptroller's instructional memorandum dated September 21, 1981:

1. List of Personnel involved:

State Project Administrator
Karen Soule
NH Department of Education
101 Pleasant Street
Concord, NH 03301

State Project Director
Mary Lane
NH Department of Education
101 Pleasant Street
Concord, NH 03301

The funds will be used for professional development based on the needs determined through the grant. The Department of Education plans to hire consultants through the Request for Proposals (RFP) process.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015

Page Three

2. Nature and needs and duration of the project:

There is a need to assure that teachers and leaders are educated to implement evidence-based practices for effectively educating K-12 students with disabilities to achieve college and career ready standards. In partnership with the CEEDAR Center and three NH Teacher Preparation Programs as leads will focus on revising or reforming our State policies and practices (including certification and licensure standards and education program approval and evaluation) and teacher and leader preparation practice to assure that all are aligned. This will also create efficiencies and avoid duplication of efforts.

U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

3. Relationship to Existing Agency Programs:

This opportunity would build on the strong collaborative relationships with the teacher and leader preparation programs within New Hampshire that we continue to develop. To demonstrate the commitment of teacher/ leader educational preparation programs to create a seamless pre-K-20 system the IHE (Institutions of Higher Education) Network presented a position paper to the State Board of Education in November 2012. This position paper demonstrated their commitment to work with the Department of Education, the Legislature and the professional educational organizations to prepare our educators to meet the educational needs of all students. It was unanimously and enthusiastically accepted by the State Board.

The New Hampshire Department of Education is committed to assure that all of our New Hampshire students are taught by effective teachers which begins with our teacher/leader preparation programs and continues throughout their careers supported by effective professional learning. We plan to build on our strong partnerships, reviewing and updating certification rules through the recommendations from the Professional Standards Board and this will support the continuous improvement model for the approval of teacher/ leader preparation. The Department of Education plans to hire consultants through the Request for Proposals (RFP) process.

4. Has a Similar Program been Requested of the Legislature and Denied?

No, it has not.

5. Why wasn't this Funding Included in the Agency's Budget Request?

This project is meant to support our continued efforts to improve educator effectiveness at no cost to the State.

6. Can any Portion of the Grant Funds be Utilized?

No. The positions requested are necessary to fulfill the intent of the Grant proposal that was accepted by the Granting Authority.

7. Estimate the Fund Required to Continue the Positions(s):

General Funds will not be requested to support this program.

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015

Page Four

Fiscal Situation

The awarding of this grant was not anticipated at the time that the FY 2014-2015 budget was being crafted necessitating this request for funding for the balance of FY 2015. Nor has a similar request of this type been previously requested of the Legislature. We anticipate seeking its continuance in the FY 2016-2017 budget with an estimated stipend of \$160,000 through the U.S. Department of Education's Office of Special Education Programs Grant Award H325A120003 awarded to University of Florida, Office of Research, Division of Sponsored Programs. New Hampshire has been chosen to support the work, CEEDAR, with a stipend of \$200,000.00 over a two year period.

Grant Award	\$200,000.00
Less this Action Fiscal Year 2015	(\$40,000.00)
Fiscal Year 16 (to be budgeted)	\$160,000.00
Total	\$200,000.00

Respectfully submitted,

Virginia M. Barry, Ph.D.
Commissioner of Education

KS:MTI:BJR
Attachments:
Grant Award

The Honorable Neal M. Kurk, Chairman
Fiscal Committee of the General Court

Her Excellency, Governor Margaret Wood Hassan
and the Honorable Council

March 2, 2015
Page Four

Fiscal Situation

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Grant Award	\$200,000.00
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Fiscal Year 16 (to be budgeted)	\$160,000.00
Total	\$200,000.00

Respectfully submitted,

Virginia M. Barry
Virginia M. Barry, Ph.D.
Commissioner of Education

KS:MTI:BJR
Attachments
Grant Award

UF UNIVERSITY of FLORIDA

Office of Research
Division of Sponsored Programs
219 Grinter Hall
PO Box 115500
Gainesville, Florida 32611-5500

December 1, 2014

Karen Soule
Lead Educator Effectiveness
New Hampshire Dept. of Education
101 Pleasant Street
Concord, NH 03301

Dear Dr. Soule:

This letter will serve as confirmation that the University of Florida (UF) has been awarded a multi-year grant from the U.S. Department of Education's Office of Special Education Programs number H325A120003 that has established and supports the programs under the Collaboration for Effective Educator Development and Accountability and Reform (CEEDAR) Center.

The CEEDAR Center is charged with supporting states in reforming teacher and leader preparation to promote the college and career readiness of students with disabilities. Since its inception in January, 2013, the CEEDAR Center has issued two national calls, solicited applications from 20 states, and selected 10 states to receive intensive technical assistance.

I am pleased to inform you that the State of New Hampshire has been chosen as one of five participants in the second cohort of states. To support the work, CEEDAR will award the applicant agency, the New Hampshire Department of Education, a stipend of \$200,000 over a two-year period.

The award document, which will provide you with the terms and conditions for use of the stipend funds and associated reporting requirements, will be sent in a subsequent document.

If you have any questions about your award, please contact UF's Project Director and Center Director Mary Brownell or Co-Director Paul Sindelar. Both are Professors of Special Education at the University of Florida's College of Education.

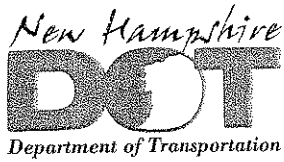
Sincerely,



Brian Prindle
Associate Director, Division of Sponsored Programs

Cc: Mary Brownell, Project Director
Paul Sindelar, Co-Project Director

The Foundation for the Gator Nation
An Equal Opportunity Institution



THE STATE OF NEW HAMPSHIRE
DEPARTMENT OF TRANSPORTATION



William Cass, P.E.
Assistant Commissioner

FIS 15-145
Additional Information

August 20, 2015

The Honorable Neal Kurk, Chairman
Fiscal Committee of the General Court
State House
Concord, New Hampshire 03301

Dear Chairman Kurk:

The NH Department of Transportation hereby requests the Committee withdraw item previously tabled (#15-145) by the Committee at the meeting held on July 29, 2015.

Thank you for your assistance in this matter.

Sincerely,

Patrick K. McKenna
Deputy Commissioner

cc: Michael Landrigan, Office of the Legislative Budget Assistant
William Cass
Marie Mullen
Len Russell

Pharmacy Costs and The Health Benefit Plan

Retiree Health Budget Impacts

Vicki Quiram, Commissioner
Cassie Keane, Director of Risk and Benefits
Fiscal Committee Presentation
August 26, 2015

DAS FY16/17 Retiree Health Proposed Plan Changes
For Information - Senate 5/4/15

	A	B	C	D	E	F	G	H	I		
1						FY16	FY17	Biennium			
2					Governors Recommended Budget	\$ 69,832,381	\$ 72,867,373	\$ 142,699,754			
3					Estimated FY16/17 Budget	\$ 71,786,257	76,469,205	\$ 148,255,462			
4					Budget (shortfall)/excess	\$ (1,953,876)	\$ (3,601,832)	\$ (5,555,708)			
5											
6											
14					Retiree Under 65 Premium Contribution Rate Options:						
15					FY16			FY17			
16									Total FY16/17 Increase in Premium Contribution Revenue:		
17					New Percentage:	Retiree Rate:	Retiree + 1 Rate:	Revenue Increase from 12.5%:	Retiree Rate:	Retiree + 1 Rate:	Revenue Increase from 12.5%:
18					12.5% (Current Rate)	\$ 116.84	\$ 233.68	\$	\$ 123.23	\$ 246.45	\$
19					13%	\$ 121.51	\$ 243.02	\$ 55,000	\$ 128.15	\$ 256.31	\$ 175,282
20					14%	\$ 130.86	\$ 261.72	\$ 395,000	\$ 138.01	\$ 276.03	\$ 526,052
21					15% (Item #5 above)	\$ 140.21	\$ 280.41	\$ 735,000	\$ 147.87	\$ 295.74	\$ 877,000
22					16%	\$ 149.55	\$ 299.11	\$ 1,074,886	\$ 157.73	\$ 315.46	\$ 1,227,503
23					17%	\$ 158.90	\$ 317.80	\$ 1,415,021	\$ 167.59	\$ 335.17	\$ 1,578,186
24					18%	\$ 168.25	\$ 336.49	\$ 1,755,157	\$ 177.44	\$ 354.89	\$ 1,928,772
25					19%	\$ 177.59	\$ 355.19	\$ 2,095,199	\$ 187.30	\$ 374.61	\$ 2,279,542
26					20%	\$ 186.94	\$ 373.88	\$ 2,435,334	\$ 197.16	\$ 394.32	\$ 2,630,224
27					<i>Active single employee pays \$43.33 PM, active employee plus one pays \$86.67 PM, and active employee plus family pays \$130.00 PM.</i>						
28					Medicare Part B pays \$104.90 per month in CY2015						
29					Total Fund Impact: Premium Contribution						
30						FY16	FY17				
31					Premium Contribution Increase (12.5% to 15%)	735,000	\$ 877,000				
32					Premium Contribution Increase (12.5% to 20%)	\$ 2,435,334	\$ 2,630,224				
33											
34											
35					February 2015 Enrollment per Anthem						
38					Total Under 65 Members	3,094	36%				
39					Over 65 Plan Members	8,724	74%				
40					Total Members	11,818					
41											

RETIREE HEALTH FY16/17 COMPARE BUDGET TO AGENCY ESTIMATE

(in millions)

	FY16	FY17	Total Biennium
Agency Estimate/Request (3/9/15 House, 5/4/15 Senate)	\$71.8	\$76.5	\$148.3
Budget (Governor, House, & Senate)	\$69.8	\$72.9	\$142.7
Budget Shortfall	\$ (2.0)	\$ (3.6)	\$ (5.6)

RETIREE HEALTH FY16/17 COMPARE BUDGET TO UPDATED AGENCY ESTIMATE

INCREASING PHARMACY TREND/Actual Enrollment/Federal Subsidy Decrease

(in millions)

	FY16	FY17	Total Biennium
Updated Agency Estimate (July 2015)	\$72.8	\$79.5	\$152.3
Budget (Governor, House, & Senate)	\$69.8	\$72.9	\$142.7
Budget Shortfall	\$ (3.0)	\$ (6.6)	\$ (9.6)
Reduction in EGWP Direct Subsidy	\$ (.3)	\$ (.7)	\$ (1.0)
Updated Budget Shortfall	\$ (3.3)	\$ (7.3)	\$ (10.6)

The Variables in Health Care Management

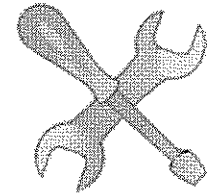
- Enrollment/Headcount
- Cost Trends
- Premium Contribution
- Plan Design
 - Deductibles
 - Co-Pays
 - Max. Out of Pocket (MOOP)
 - Site of Service
- Reinvent retiree health benefits (i.e., tiered network, defined contribution, etc.) – Long Term

Managing the Retiree Health Deficit for FYs 16 and 17

- DAS must operate the Retiree Health Benefit Plan within the limits of the funds appropriated at each legislative session. RSA 21-I: 30
- DAS Commissioner is responsible to manage the HBP. RSA 21-I:13, XI
- Changes to Retiree HBP are authorized with approval of the Fiscal Committee. RSA 21-I:30
- Employee and Retiree Risk Management Fund shall be non-lapsing and continually appropriated to DAS. RSA 21-I:30-e

Tools Outside the DAS Toolbox

- DAS does not have the authority to change premium contributions for < 65 retirees from 12.5% with approval of the Fiscal Committee
- DAS does not have the authority to charge and change a premium contribution for > 65 retirees with approval of the Fiscal Committee




Existing and new tools (legislation) need to be available for DAS to manage the retiree health deficit

Examples of Options

	Option A	Option B	Option C
Surplus	\$4m	\$4m	\$4m
Medical:			
U65:	Ded: \$1k/\$2k Copay: \$15/\$30 Site of Service	Ded: \$1k/\$2k Copay: \$15/\$30 Site of Service	Ded: \$750/\$1500 Copay: \$15/\$30 Site of Service
	\$2.3m	\$2.3m	\$1.3m
O65:	N/A	N/A	Part A: Ded. \$500 \$1m
Pharmacy:			
U65 & O65:	Retail: 10/25/40 Mail: 10/50/80 MOOP: 750/1500	Retail: 15/30/45 Mail: 30/60/90 MOOP: 750/1500	Retail: 15/30/45 Mail: 30/60/90 MOOP: 750/1500
	\$2m	\$4.5	\$4.5
Premium Contributions:			
U65:	15%	12.5%	12.5%
	\$.8m		
O65:	\$15/3.84%	N/A	N/A
	\$1.5m		
Total Savings:	\$10.6m	\$10.8	\$10.8

Timeline

- 
- 8/31/15: DAS must inform the PBM by 8/31/15 of changes to retiree health RX plan to meet Medicare regulation timelines
 - If plan design changes are not approved on 9/25/15, DAS will have to pull them back from the PBM.
 - 9/25/15: DAS will present Retiree Health Benefit Plan design options to Fiscal Committee for approval
 - 10/1/15- 12/31/15: Retiree Education about plan design and premium contribution changes and effective dates
 - 1/1/16: Effective date of plan design changes
 - Introduction of legislation to put tools in DAS toolbox, if needed
 - 4/1/16- 5/30/16: Possible return to Fiscal Committee for additional plan design changes if legislative initiatives fail
 - 7/1/16: Effective date of new laws that put required tools in DAS toolbox
 - 8/1/16: Premium contribution changes for U65 if legislation passes; possible premium contribution for >65 retirees if legislation passes

Any plan will change if enrollment changes, cost trends change or if budget changes

Next Steps

- DAS needs and welcomes your input
- Prepare recommended options for final plan decision at September Fiscal Committee
- Identify sponsors for fast track legislation, if included in recommended options
- After short term (FY16/17) changes implemented, begin work on long term planning for retiree health benefits that provide optimum benefit for retirees within legislative authority and available resources